

# Switched Capacitor-Based PWM- and Phase-Shift-Controlled Multiport Converter with Differential Power Processing Capability for Standalone Photovoltaic Systems Under Partial Shading

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**Abstract**—Photovoltaic (PV) systems consist of multiple dc-dc converters, such as a front-end converter for a PV panel and a bidirectional converter for battery regulation. In addition, a differential power processing (DPP) converter is desirably installed to preclude partial shading issues of the significant reduction in energy yield and the occurrence of multiple maximum power points. This paper proposes a novel multiport converter (MPC) based on a switched capacitor converter (SCC) with DPP capability to simplify such PV systems by reducing the converter count. The proposed SCC-MPC is derived from the integration of a noninverting PWM buck-boost converter, SCC, and phase-shift (PS) SCC with sharing switches. In addition to the reduced converter count, the proposed MPC achieves reduced circuit volume thanks to the SCC and PS-SCC, both of which are a high power-density converter. The proposed SCC-MPC can regulate the load and battery by PS and PWM controls, respectively, while automatically precluding the partial-shading issues by its DPP capability. The experimental verification using a 200-W prototype demonstrated the load and battery could be independently regulated with preventing the partial shading issues.

**Keywords**—Differential power processing (DPP) converter, multiport converter (MPC), switched capacitor converter (SCC), phase-shift converter (PS-SCC).

## I. INTRODUCTION

Photovoltaic (PV) systems containing a rechargeable battery require multiple dc-dc converters to individually regulate PV panels and battery. A front-end dc-dc converter performs maximum power point tracking (MPPT) for PV panels while a

bidirectional dc-dc converter plays a role of battery charge-discharge regulation to allow flexible operation of the PV systems under fluctuating irradiation conditions or even at night.

In general, ordinary standard PV panels consist of three substrings connected in series. Substring characteristics are mismatched by partial shading on PV panels. Partially-shaded substrings, or weak substrings, are less capable of generating current and, therefore, are bypassed by parallel-connected bypass diodes, as shown in Fig. 1(a). Bypassed substrings no longer contribute to power generation, resulting in a significant reduction in power generation of a panel as a whole—partial shading with 10% equivalent area of a panel reportedly results in 30% reduction in energy yield [1]. In addition, the mismatched substring characteristics generate local power point maxima on  $P$ - $V$  characteristics of a panel, as shown in Fig. 1(b). The existence of multiple maximum power points (MPPs)

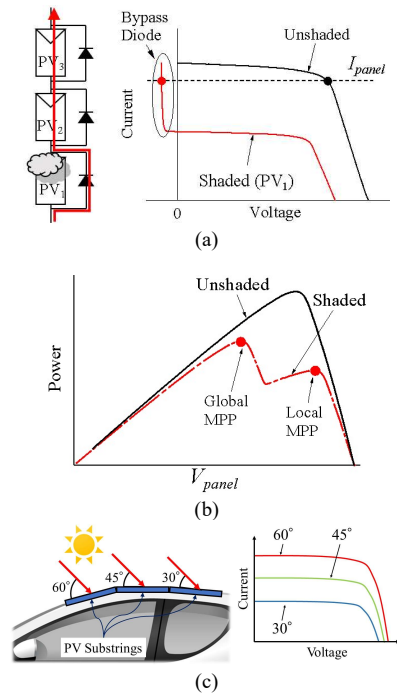


Fig. 1. (a) Substring characteristic mismatch due to partial shading. (b) Panel characteristics with/without partial shading. (c) Substring characteristic mismatch due to uneven irradiance on solar roofs.

This paper is an extension of a conference paper entitled “Switched capacitor converter-based PWM plus phase-shift control multiport converter with differential power processing capability for photovoltaic systems” at *IEEE Int. Conf. Power Electron. (ICPE), ECCE-Asia*, Busan, Korea, May 27–30, 2019.

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might hinder and confuse ordinary MPP tracking (MPPT) algorithms to track a global MPP. Similar mismatch situations happen in curved solar panels, such as solar roofs of electric vehicles, because of uneven irradiance on the curved surface, as illustrated in Fig. 1(c) [2].

Differential power processing (DPP) converters, also known as voltage equalizers, are gaining significant attention as a powerful solution to partial shading issues [2]–[20]. High-irradiance substrings transfer power to low-irradiance ones through DPP converters to unify substring voltages or even operate all substrings at each MPP. Since DPP converters process only differential power between high- and low-irradiance substrings, the converter power rating can be rather lower than that of traditional full power processing converters. Applications of DPP converters are not limited to PV systems. Data centers using series-stacked processors necessitate DPP converters to ensure processor voltages remain within the design limit [21].

DPP converters are categorized into several groups based on power redistribution scenarios. Nonisolated bidirectional converters [3]–[7], single-input–multi-output converters [8]–[11], and isolated bidirectional converters [12]–[15] can be used as DPP converters. Among various kinds of DPP converter topologies is a switched capacitor converter (SCC) [16]–[21]. The most prominent feature of SCC-based DPP converters is an enhanced power density or decreased circuit volume in comparison with inductor-based topologies. The energy density of discrete capacitors is reportedly within a range of more than three orders of magnitude over that of similarly scaled inductors [22], [23].

A conventional standalone PV system containing a rechargeable battery and DPP converter is illustrated in Fig. 2(a). In addition to the PV panel and battery, this system requires a unidirectional converter for MPPT, a bidirectional converter for charge-discharge regulation of the battery, and a DPP converter to preclude partial-shading issues of the PV panel. This PV system is prone to be complex and costly as three separate converters are necessary.

To cope with this issue, various kinds of multiport converters (MPCs) that integrate multiple converters into a single unit have been proposed. MPCs are roughly divided into three categories: isolated [24]–[26], partially-isolated [27]–[35], and nonisolated MPCs [36]–[44]. Nonisolated MPCs are the best suitable topology for nonisolated applications because of the lack of

bulky, lossy transformers. Although a variety of nonisolated MPC topologies have been proposed for nonisolated standalone PV systems, conventional MPCs integrate only a unidirectional converter for PV panels and bidirectional converter for batteries. In other words, DPP converters remain unintegrated, suggesting there is still room for further integration and simplification.

Meanwhile, several converters and inverters with DPP capability have also been proposed in our previous works [45]–[48]. These conventional topologies, however, integrate a unidirectional converter and DPP converter into a single unit, whereas bidirectional converters for rechargeable batteries were outside the scope of previous research.

To further simplify nonisolated PV systems, a novel SCC-based MPC integrating the three converters into a single unit has been proposed in our previous study [49]. The schematic diagram of the proposed MPC is depicted in Fig. 2(b). In addition to unidirectional and bidirectional converters, a DPP converter is also integrated. Furthermore, since the proposed MPC is based on the SCC, circuit miniaturization is also feasible. This paper presents the fully developed work of [49].

The rest of this paper is organized as follows. Section II presents the proposed SCC-MPC and its major features. Section III discusses three operation scenarios of MPCs, which are determined by power balance among three input/output ports. Section IV presents the detailed operation analysis and mathematical modeling for the proposed SCC-MPC. Section V discusses a design example of a 200-W prototype. The control system for the proposed MPC is presented in Section VI. The experimental results of a 200-W prototype will be shown in Section VII. The proposed SCC-MPC will be compared with conventional MPCs from various aspects in Section VIII.

## II. PROPOSED SCC-MPC

### A. Key Elements for Proposed SCC-Based MPC

The proposed MPC is derived from the combination of three converters shown in Fig. 3: the SCC, phase-shift (PS) SCC, and noninverting PWM buck-boost converter.

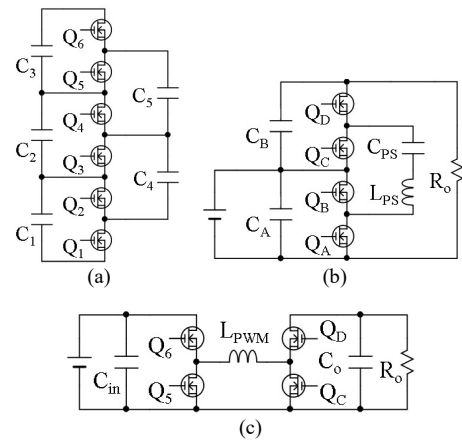


Fig. 3. Key elements for the proposed MPC. (a) Switched capacitor converter (SCC). (b) Phase-shift SCC (PS-SCC). (c) Noninverting PWM buck-boost converter.

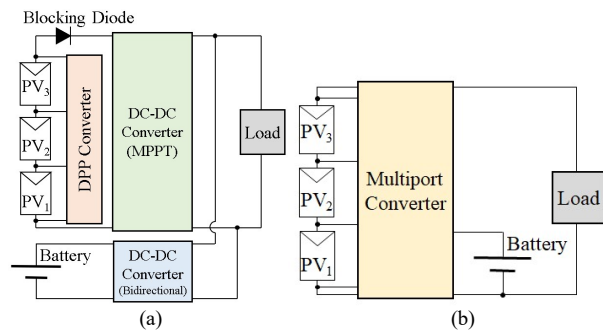


Fig. 2. (a) Conventional PV system with separate converters. (b) Proposed multiport converter system.

The SCC, shown in Fig. 3(a), consists only of switches and capacitors, and its power density can be rather higher than ordinary inductor-based converters thanks to the lack of bulky magnetic components [22], [23]. All capacitor voltages automatically unify at any duty cycles without feedback control, and therefore, the SCC behaves as a voltage equalizer. The SCCs have been used as not only DPP converters for PV panels [16]–[20] but also voltage equalizers for series-connected energy storage cells [50]–[52].

The PS-SCC, shown in Fig. 3(b), is an extended version of an ordinary SCC. The inductor  $L_{PS}$  is added to realize PS-based power regulation capability. The amount and direction of power transfer can be controlled by manipulating PS angle  $\phi$  between a leading and lagging leg [53]. The PS-SCC is driven with a fixed 50% duty cycle and is used to regulate the load voltage  $V_{out}$  in the proposed MPC. Although PS-SCCs tend to be slightly bulkier than ordinary SCCs due to the added inductor, their circuit volume would be still considerably small because energy densities of discrete capacitors are in the range of more than three orders of magnitude over similarly scaled inductors [22], [23].

The noninverting PWM buck-boost converter, shown in Fig. 3(c), contains two switching legs and one inductor. The buck-boost converter operates in either buck, boost, or buck-boost mode, depending on driving methods. In the proposed MPC, the buck-boost converter operates in the buck-boost mode, where gating signals for  $Q_6$  and  $Q_C$  (or  $Q_5$  and  $Q_D$ ) synchronize.

### B. Derivation of Proposed SCC-MPC

The proposed MPC is derived from integrating these three converters with sharing switches, as shown in Fig. 4. The SCC and PWM buck-boost converter are integrated with sharing switches  $Q_5$  and  $Q_6$ .  $Q_C$  and  $Q_D$  are shared by the PWM buck-boost converter and PS-SCC.

PV substrings  $PV_1$ – $PV_3$  are connected in parallel with capacitors  $C_1$ – $C_3$  in the SCC. All capacitor voltages, as well as substring voltages  $V_1$ – $V_3$ , are automatically unified by the SCC, hence precluding the negative influences of partial shading.

The series connection of  $C_A$  and  $C_B$  is tied to the load. The rechargeable battery is connected to  $C_A$  in the PS-SCC, and the voltage of  $C_A$  is always equal to the battery voltage  $V_{bat}$ . Meanwhile, the voltage of  $C_B$ ,  $V_{CB}$ , can be regulated with the PS control that adjusts the power transfer between  $C_A$  and  $C_B$  by manipulating the PS angle  $\phi$  between  $Q_A$ – $Q_B$  and  $Q_C$ – $Q_D$ .

The input and output ports of the noninverting PWM buck-boost converter correspond to  $C_3$  (or  $PV_3$ ) and  $C_B$ , respectively, and therefore, either  $V_3$  or  $V_{CB}$  can be regulated by the PWM

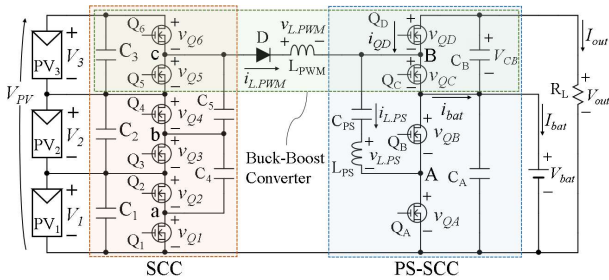


Fig. 4. Proposed SCC-MPC with DPP capability.

control. A diode  $D$  is inserted in series with  $L_{PWM}$  to prevent the reverse power flow from the battery to the PV panel when the panel generates no power (e.g., at night). This added  $D$  plays the role of a blocking diode in conventional systems [see Fig. 2(a)].

Since both the PS-SCC and PWM buck-boost converter contain  $C_B$ ,  $V_{CB}$  ( $= V_{out} - V_{bat}$ ) can be regulated by either PWM or PS control. In general, the load voltage  $V_{out}$  must be regulated all the time, whereas a PV panel is periodically unavailable. The PWM buck-boost converter is connected to the PV panel and therefore is periodically disabled when the panel is unavailable. The PS-SCC, on the other hand, is always active because it is connected to the load and battery. Hence, the PS-SCC with PS control should be assigned to seamlessly regulate  $V_{out}$ , while the buck-boost converter with PWM control is used to perform PV panel regulation (i.e., MPPT control) or battery charging regulation.

The duty cycle of the PS-SCC ( $d_{PS,SCC}$ ) is fixed to be 50%, with which the amount of power transfer by the PS-SCC can be maximized. The duty cycle of the SCC ( $d_{SCC}$ ), on the other hand, is manipulated for PWM control. In other words, the duty cycle of the right-hand leg of the PWM buck-boost converter is fixed to be 50%, while that of the left-hand leg is variable.

The concept of the proposed SCC-MPC can be extended by integrating three converters at other switching nodes. The inductor  $L_{PWM}$  in Fig. 4 is connected to the switching node  $c$  of the SCC and the switching node  $B$  of the PS-SCC. Other topologies of the proposed SCC-MPC can be derived by connecting  $L_{PWM}$  to other switching nodes, as exemplified in Fig. 5, in which  $L_{PWM}$  is connected to the node  $b$  and node  $A$ . Depending on the switching nodes connected to  $L_{PWM}$ , an attainable range of the voltage conversion ratio of  $V_{out}/V_{PV}$  can be changed—the SCC-MPC in Fig. 5 achieves a higher voltage conversion ratio of  $V_{out}/V_{PV}$  in comparison with the topology of Fig. 4. Hence, the switching nodes for  $L_{PWM}$  should be properly selected with considering required conversion ranges. In the following sections, the SCC-MPC in Fig. 4 will be analyzed and demonstrated.

### C. Major Features

Although various kinds of nonisolated MPCs have been proposed in previous works, conventional MPCs integrate only two converters for a PV panel and battery [36]–[44]. The proposed MPC, on the other hand, integrates the DPP converter as well, further simplifying the system by reducing the converter count.

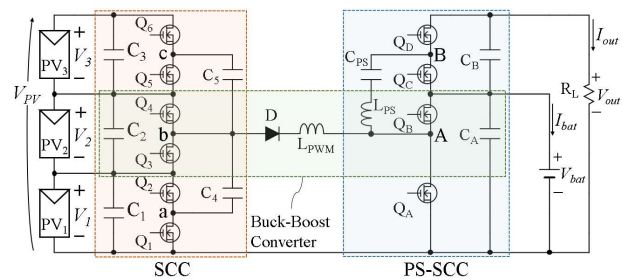


Fig. 5. Other version of proposed SCC-MPC with DPP capability.

Three converters are integrated into a single unit with sharing switches. Hence, in comparison with the case of three separate converters, the total switch count can be reduced from 14 to 10 (see Figs. 3 and 4), achieving the circuit-level simplification—the diode  $D$  added in series with  $L_{PWM}$  is not included in the total switch count for the fair comparison because it corresponds to the conventional system's blocking diode [see Fig. 2(a)].

The reduced circuit volume is a prominent advantage over conventional MPCs. The SCC and PS-SCC, both of which are a high power-density converter, are used as the foundation of the proposed MPC. In addition, since an applied voltage across  $L_{PWM}$  in the buck-boost converter can also be reduced thanks to the SCC and PS-SCC,  $L_{PWM}$  can be designed rather smaller than that in the traditional noninverting PWM buck-boost converter.

The previous work proposed an MPC consisting of the PS-SCC and bidirectional PWM converter [44]. Although this MPC employs the PS-SCC as a foundation circuit, the inductor volume of the PWM converter cannot be reduced because the converter integration does not reduce the applied voltage across the inductor. Furthermore, the integration of DPP converters is outside the scope in [44]. Other works reported converters integrating DPP converters or cell equalizers for batteries [45]–[48]. DPP converters and cell equalizers in these topologies are based on a voltage multiplier driven by a transformer, but the transformer's existence hinders circuit miniaturization. The proposed SCC-MPC, on the other hand, not only does not require a bulky transformer but also can reduce the volume of  $L_{PWM}$ , rendering itself suitable for circuit miniaturization.

Although three converters are integrated into a single unit, the proposed SCC-MPC requires more switches than do conventional MPCs because, in general, SCC circuits need numerous switches, as can be seen in Fig. 3. The circuit complexity and cost are prone to soar as every two switches require a gate driver circuit consisting of a gate driver IC and auxiliary power supply. Given the advantages and drawbacks, the proposed SCC-MPC is considered best suitable for applications where circuit miniaturization is of great importance (e.g., solar roofs in electric vehicles, satellite power systems, etc.).

Another drawback is a restricted operational range in comparison with the conventional PV systems using separate converters [see Fig. 2(a)]. Since some switches in the SCC-MPC are shared (see Figs. 4 and 5), the operational range is narrower than that of the conventional system. However, the restricted operational range is a common issue to existing MPCs because most MPCs also share switches in the course of integrating converters into a single unit.

### III. OPERATION SCENARIO

Depending on the power balance among the PV panel, battery, and load, the proposed SCC-MPC operates in either of three scenarios: the single-input dual-output (SIDO) mode, MPPT mode, and single-input single-output (SISO) mode, as illustrated in Fig. 6.

The power balance among three ports is given by

$$P_{PV} = P_{out} + P_{bat} \quad (1)$$

where  $P_{PV}$  is the generated power of the panel,  $P_{out}$  is the load power, and  $P_{bat}$  is the battery charging power. This simple equation suggests a very important fact that controlling two out of three ports determines the remaining one. As briefly mentioned in Section II-B,  $P_{out}$  is always regulated by PS control, while PWM control is allocated to regulate either  $P_{PV}$  or  $P_{bat}$ , depending on operation scenarios.

#### A. Single-Input Dual-Output (SIDO) Mode

As shown in Fig. 6(a), the PV panel is capable of providing the entire load power  $P_{out}$ . The difference between  $P_{out}$  and the maximum power of the panel,  $P_{MP}$ , is greater than the acceptable maximum charging power (i.e.,  $P_{MP} > P_{out} + P_{bat}$ ). Hence, the battery charging power is regulated by the constant-current–constant-voltage (CC–CV) battery charging scheme to prevent excessive charging power.

In this scenario,  $P_{out}$  (or  $V_{out}$ ) is regulated by the PS control, while  $V_{bat}$  or the battery current  $I_{bat}$  is regulated by PWM control. The PV panel port is unregulated, and the operating voltage of the panel,  $V_{PV}$ , is passively determined so that the power balance obeys (1).

#### B. MPPT Mode

The MPP of the PV panel is tracked by the MPPT control, as shown in Fig. 6(b). The battery is charged or discharged depending on the power balance between  $P_{PV}$  and  $P_{out}$ . If  $P_{MP} > P_{out}$ , the surplus power is allocated for battery charging,  $P_{bat}$ . In the case of  $P_{MP} < P_{out}$ , on the other hand, the battery supports the load by supplying  $P_{out} - P_{MP}$ .

Similar to the SIDO mode,  $P_{out}$  is regulated by PS control. Meanwhile,  $V_{PV}$  is regulated to perform the MPPT control, and the battery buffers the surplus or deficit power, depending on the power balance between  $P_{out}$  and  $P_{MP}$ . In other words, the

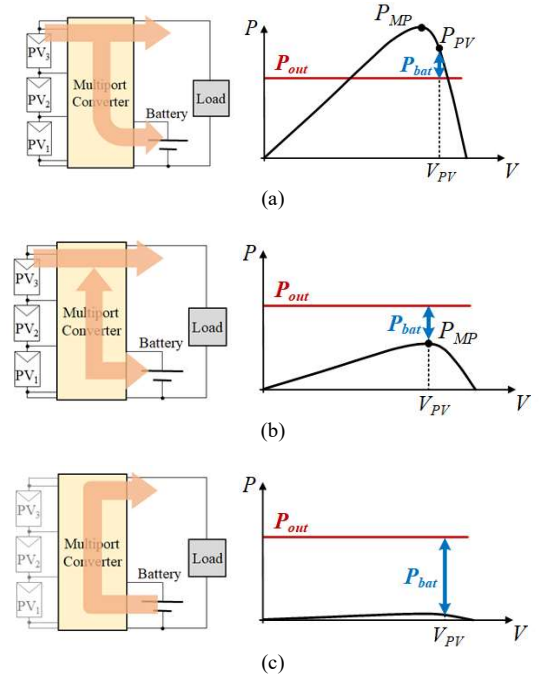


Fig. 6. Power flows in (a) single-input dual-output (SIDO) mode, (b) MPPT mode, and (c) single-input single-output (SISO) mode.



battery port is unregulated in this scenario.

### C. Single-Input Single-Output (SISO) Mode

As shown in Fig. 6(c), the PV panel generates no power (e.g., at night), and the battery supplies the entire load power (i.e.,  $P_{out} = -P_{Bat}$ ).  $V_{out}$  is regulated by PS control, similar to the other two modes. The diode D (see Fig. 4) blocks the current flowing back to the SCC and PV panel.

## IV. OPERATION ANALYSIS

As discussed in the previous section, there are three operation scenarios. This section presents the detailed operation analysis for the SIDO mode only to save page length, but other operation scenarios can be analyzed similarly. All circuit elements are assumed ideal unless otherwise noted. A forward voltage drop of the diode D is ignored. All substring voltages are assumed to be unified by the SCC-based DPP converter.

### A. Operation Modes in SIDO Mode

Theoretical operation waveforms and current flow directions are shown in Figs. 7 and 8, respectively. The duty cycle of low-side switches in the PS-SCC ( $d_{PS,SCC}$ ), or the right-hand leg of the PWM buck-boost converter, is fixed to be 50%, whereas that of the SCC ( $d_{SCC}$ ), or the left-hand leg of the buck-boost converter, is manipulated for PWM control. The analysis is performed on the premise that  $V_{PV} > V_{out}$ , and all substring voltages  $V_1$ – $V_3$  are perfectly equalized by the SCC as  $V_1 = V_2 = V_3 = V_{PV}/3$ .

Before detailing individual operation modes, an average voltage of  $C_{PS}$ ,  $V_{C,PS}$ , is derived first. Average voltages at the switching nodes of  $Q_A$ – $Q_B$  and  $Q_C$ – $Q_D$  are  $V_{bat}/2$  and  $V_{CB}/2 + V_{bat}$ , respectively, because of  $d_{PS,SCC} = 0.5$ . An average voltage

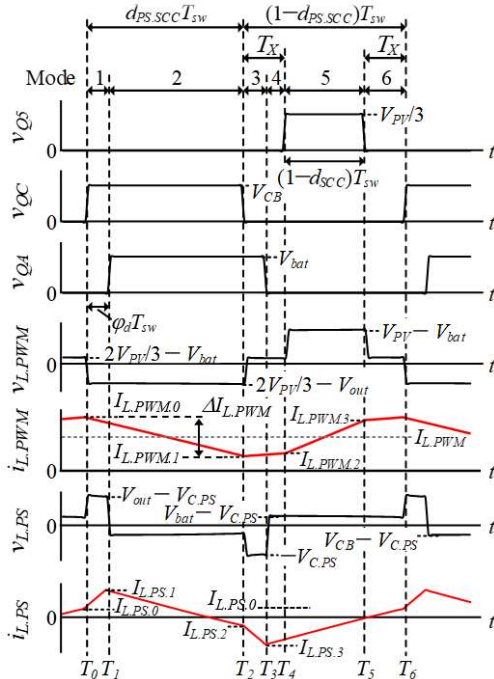


Fig. 7. Key operation waveforms in SIDO mode ( $d_{SCC} \geq 0.5$ ).

of the inductor  $L_{PS}$  must be zero under steady-state conditions, and therefore,

$$V_{C,PS} = \frac{V_{CB}}{2} + V_{bat} - \frac{V_{bat}}{2} = \frac{V_{out}}{2} \quad (2)$$

**Mode 1** ( $T_0 < t \leq T_1$ ) [Fig. 8(a)]: The low-side or odd-numbered switches in the SCC are conducting.  $C_4$  and  $C_5$  are connected in parallel with  $C_1$  and  $C_2$ , respectively, and voltages of parallel-connected capacitors become the same. Meanwhile,  $Q_A$  and  $Q_D$  in the PS-SCC are conducting. The voltage across  $L_{PWM}$ ,  $v_{L,PWM}$ , is

$$v_{L,PWM} = \frac{2}{3}V_{PV} - V_{out} \quad (3)$$

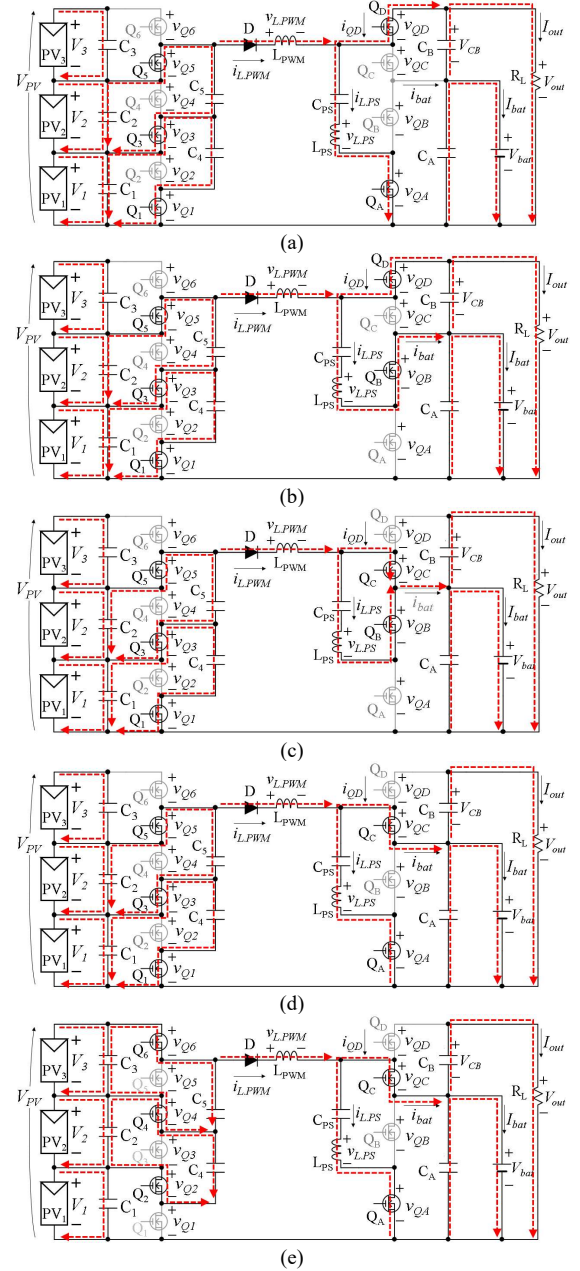


Fig. 8. Current flow directions in SIDO mode. (a) Mode 1, (b) Modes 2, (c) Mode 3, (d) Modes 4 and 6, and (e) Mode 5.

$i_{L,PWM}$  linearly decreases as the polarity of  $v_{L,PWM}$  is negative.

The LC tank consisting of  $C_{PS}$  and  $L_{PS}$  in the PS-SCC is charged by  $V_{out}$  as its current  $i_{L,PS}$  linearly increases, as

$$i_{L,PS}(t) = I_{L,PS,0} + \frac{V_{out} - V_{C,PS}}{L_{PS}} t = I_{L,PS,0} + \frac{V_{out}}{2L_{PS}} t \quad (4)$$

where  $I_{L,PS,0}$  is the initial current of  $i_{L,PS}$  at the beginning of this mode [i.e.,  $I_{L,PS,0} = i_{L,PS}(T_0)$ ], as designated in Fig. 7.

**Mode 2** ( $T_1 < t \leq T_2$ ) [Fig. 8(b)]: This mode begins as  $Q_A$  and  $Q_B$  in the PS-SCC are turned off and on, respectively. The operations of the buck-boost converter and the SCC in this mode are identical to those in Mode 1, and hence,  $v_{L,PWM}$  in this mode is identical to that in Mode 1.

The LC tank is charged by  $C_B$ .  $i_{L,PS}$  is given by

$$\begin{aligned} i_{L,PS}(t) &= I_{L,PS,1} + \frac{V_{CB} - V_{C,PS}}{L_{PS}} (t - T_1) \\ &= I_{L,PS,1} + \frac{V_{out} - 2V_{bat}}{2L_{PS}} (t - T_1) \end{aligned} \quad (5)$$

where  $I_{L,PS,1} = i_{L,PS}(T_1)$ .

**Mode 3** ( $T_2 < t \leq T_3$ ) [Fig. 8(c)]: Switching states in the SCC are still identical to those in Mode 1, while  $Q_C$  and  $Q_D$  in the PS-SCC are turned on and off, respectively. Since  $L_{PWM}$  is connected to the battery in this mode,  $v_{L,PWM}$  is

$$v_{L,PWM} = \frac{2}{3} V_{PV} - V_{bat} \quad (6)$$

The LC tank is short-circuited through  $Q_B$  and  $Q_C$ , and  $i_{L,PS}$  steeply decreases, as

$$\begin{aligned} i_{L,PS}(t) &= I_{L,PS,2} + \frac{-V_{C,PS}}{L_{PS}} (t - T_2) \\ &= I_{L,PS,2} + \frac{-V_{out}}{2L_{PS}} (t - T_2) \end{aligned} \quad (7)$$

where  $I_{L,PS,2} = i_{L,PS}(T_2)$ .

**Mode 4** ( $T_3 < t \leq T_4$ ) [Fig. 8(d)]:  $Q_A$  and  $Q_B$  in the PS-SCC are turned on and off, respectively.  $v_{L,PWM}$  in this mode is the same as that in Mode 3, whereas the LC tank is connected in parallel with the battery.  $i_{L,PS}$  is given by

$$\begin{aligned} i_{L,PS}(t) &= I_{L,PS,3} + \frac{V_{bat} - V_{C,PS}}{L_{PS}} (t - T_3) \\ &= I_{L,PS,3} + \frac{2V_{bat} - V_{out}}{2L_{PS}} (t - T_3) \end{aligned} \quad (8)$$

where  $I_{L,PS,3} = i_{L,PS}(T_3)$ . The switching states of the PS-SCC are unchanged until the end of Mode 6.

**Mode 5** ( $T_4 < t \leq T_5$ ) [Fig. 8(e)]: All the high-side or even-numbered switches in the SCC are turned on.  $C_4$  and  $C_5$  are connected in parallel with  $C_2$  and  $C_3$ , respectively, and parallel-connected capacitor voltages naturally become uniform. Meanwhile, the switching states in the PS-SCC in this mode are identical to those in Mode 4.  $v_{L,PWM}$  is given by

$$v_{L,PWM} = V_{PV} - V_{bat} \quad (9)$$

Since  $V_{PV} > V_{bat}$ ,  $i_{L,PWM}$  increases.

**Mode 6** ( $T_5 < t \leq T_6$ ) [Fig. 8(d)]: The low-side switches in the SCC are turned on. The switching states in both the SCC and PS-SCC are identical to those in Mode 4. Hence,  $v_{L,PWM}$  and  $i_{L,PS}(t)$  in this mode are also identical to those in Mode 4 [see (6) and (8)]. At the end of this mode,  $i_{L,PS}(T_6) = I_{L,PS,0}$ .

In summary, all capacitors in the SCC are virtually connected in parallel due to the switching operations, and hence, all capacitor voltages as well as voltages  $V_1$ – $V_3$  are unified even under partial shading conditions.

#### B. Voltage Conversion Ratio of PWM Buck-Boost Converter

From (3), (6), and (9),  $v_{L,PWM}$  can be summarized as

$$v_{L,PWM} = \begin{cases} \frac{2}{3} V_{PV} - V_{out} & (\text{Mode 1, 2}) \\ \frac{2}{3} V_{PV} - V_{bat} & (\text{Mode 3, 4, 6}) \\ V_{PV} - V_{bat} & (\text{Mode 5}) \end{cases} \quad (10)$$

The length of Mode 3–4 is equal to that of Mode 6, both of which are defined as  $T_X$  (as designated in Fig. 7). Since  $d_{PS,SCC} = 0.5$ ,  $T_X$  is expressed as

$$\begin{aligned} T_X &= \frac{(1 - d_{PS,SCC}) - (1 - d_{SCC})}{2} T_{sw} \\ &= \frac{d_{SCC} - d_{PS,SCC}}{2} T_{sw} = \frac{d_{SCC} - 0.5}{2} T_{sw} \end{aligned} \quad (11)$$

where  $T_{sw}$  is the switching period.

The output voltage  $V_{out}$  can be yielded the volt-sec balance on  $L_{PWM}$ , as

$$V_{out} = \frac{2}{3} (3 - d_{SCC}) V_{PV} - V_{bat} \quad (12)$$

This equation suggests that  $V_{PV}$  and  $V_{bat}$  are duty-dependent and are PWM-controllable if  $V_{out}$  is a fixed constant value.

#### C. Inductor Ripple Current of Buck-Boost Converter

The inductor ripple current of the PWM buck-boost converter in the proposed MPC is yielded and compared with that of the traditional noninverting buck-boost converter [see Fig. 3(c)] in this subsection. As discussed in the previous subsection,  $d_{PS,SCC}$  is fixed to be 0.5 while  $d_{SCC}$  is manipulated to regulate  $V_{out}$ . Hence, mathematical expressions of the ripple current differ depending on whether  $d_{SCC} \geq 0.5$ .

The inductor ripple current  $\Delta I_{L,PWM}$  in the case of  $d_{SCC} \geq 0.5$  is yielded from Modes 1 and 2 (see Fig. 7), as

$$\begin{aligned} \Delta I_{L,PWM} &= \frac{\left| \frac{2}{3} V_{PV} - V_{out} \right|}{L_{PWM}} d_{PS,SCC} T_{sw} \\ &= \frac{\left| \frac{2}{3} V_{PV} - V_{out} \right| T_{sw}}{2L_{PWM}} \end{aligned} \quad (13)$$

Meanwhile, operation waveforms of the PWM buck-boost converter in the case of  $d_{SCC} < 0.5$  are shown in Fig. 9—the operation is divided into Modes A–C, and waveforms related to PS-SCC (i.e.,  $v_{QA}$ ,  $v_{L,PS}$ , and  $i_{L,PS}$ ) are excluded because  $\Delta I_{L,PWM}$  is independent on these functions.  $\Delta I_{L,PWM}$  in the case of  $d_{SCC} < 0.5$  is yielded from Mode C, as

$$\begin{aligned} \Delta I_{L,PWM} &= \frac{|V_{PV} - V_{bat}|}{L_{PWM}} (1 - d_{PS,SCC}) T_{sw} \\ &= \frac{|V_{PV} - V_{bat}| T_{sw}}{2L_{PWM}} \end{aligned} \quad (14)$$

Substituting (12) into (13) and (14) produces

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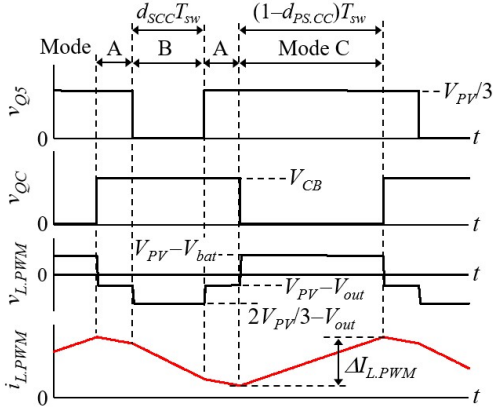


Fig. 9. Key operation waveforms of PWM buck-boost converter in the proposed SCC-MPC ( $d_{SCC} < 0.5$ ).

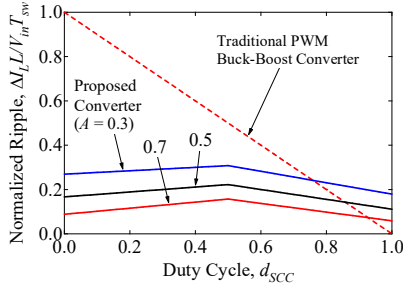


Fig. 10. Normalized inductor ripple current of PWM buck-boost converters.

$$\Delta I_{L.PWM} = \begin{cases} \frac{|2d_{SCC} - 4 + 2A|V_{PV}T_{sw}}{6(1+A)L_{PWM}} & (d_{SCC} \geq 0.5) \\ \frac{(2d_{SCC}A + 3 - 3A)V_{PV}T_{sw}}{6(1+A)L_{PWM}} & (d_{SCC} < 0.5) \end{cases} \quad (15)$$

where  $A = V_{bat}/V_{out}$ .

$\Delta I_{L.PWM}$  is normalized by  $V_{PV}T_{sw}/L_{PWM}$  and is compared with that of the ordinary noninverting PWM buck-converter [Fig. 3(c)] at the same normalized condition, as shown in Fig. 10—the duty cycle of  $Q_5$  in Fig. 3(c) is defined as  $d_{SCC}$ .  $\Delta I_{L.PWM}$  of the proposed converter varies with  $A$  and does not reach zero because of the existence of the battery voltage  $V_{bat} (= A \times V_{out})$ . However, the proposed converter shows lower  $\Delta I_{L.PWM}$  over the wide range of  $d_{SCC}$  because the applied voltage across  $L_{PWM}$  can be reduced thanks to the integration with the SCC and PS-SCC (see  $v_{L.PWM}$  in Figs. 7 and 9). This trend suggests that the inductance as well as the inductor volume for a given ripple current can be reduced. Meanwhile, even if an SCC-based DPP converter and PS-SCC-based bidirectional converter are employed in the conventional PV system [Fig. 2(a)], the inductor volume reduction is not feasible because  $\Delta I_{L.PWM}$  is unchanged from that of the traditional PWM buck-boost converter.

#### D. Modeling for PS-SCC

The battery charging current,  $I_{bat}$ , can be modeled by integrating  $i_{bat}$  (see Fig. 4). Meanwhile, the load current  $I_{out}$  can be modeled by integrating the current of  $Q_D$ ,  $i_{QD}$ , flowing from the source to drain pins. From the operation modes in Fig. 8,  $i_{bat}$  and  $i_{QD}$  are summarized as

$$i_{bat} = \begin{cases} 0 & (\text{Mode 1}) \\ i_{L.PS} & (\text{Mode 2}) \\ I_{L.PWM} & (\text{Mode 3}) \\ I_{L.PWM} - i_{L.PS} & (\text{Mode 4, 5, 6}) \end{cases} \quad (16)$$

$$-i_{QD} = \begin{cases} I_{L.PWM} - i_{L.PS} & (\text{Mode 1, 2}) \\ 0 & (\text{Mode 3, 4, 5, 6}) \end{cases} \quad (17)$$

where  $I_{L.PWM}$  is the average of  $i_{L.PWM}$ .

The operation of the PS-SCC in Modes 1–2 are symmetric to that in Modes 3–6 because of  $d_{PS,SCC} = 0.5$ . The operation symmetry yields the following relationship.

$$\begin{cases} I_{L.PS,0} = -I_{L.PS,2} \\ I_{L.PS,1} = -I_{L.PS,3} \end{cases} \quad (18)$$

From (4), (5), (7), and (8),

$$\begin{cases} I_{L.PS,1} = \frac{-V_{out} - 2(2d_\phi - 1)V_{bat}}{8f_{sw}L_{PS}} \\ I_{L.PS,2} = \frac{(4d_\phi - 1)V_{out} - 2(2d_\phi - 1)V_{bat}}{8f_{sw}L_{PS}} \end{cases} \quad (19)$$

where  $d_\phi$  is the phase-shift duty cycle that is defined as

$$d_\phi = \frac{\phi}{2\pi} \quad (20)$$

Integrating  $i_{bat}$  over a switching period yields  $I_{bat}$ , as

$$\begin{aligned} I_{bat} &= \frac{1}{T_{sw}} \int_{T_0}^{T_6} i_{bat} dt \\ &= \frac{V_{out}(1 - |2d_\phi|)d_\phi}{4f_{sw}L_{PS}} + \frac{1}{2}I_{L.PWM} \end{aligned} \quad (21)$$

The first term on the right-hand side corresponds to the current delivered by the PS-SCC alone. The second term on the right-hand side represents the current flowing from  $L_{PWM}$ . In the case of  $I_{L.PWM} = 0$  in the SISO mode, (21) is rewritten as

$$I_{bat} = \frac{V_{out}(1 - |2d_\phi|)d_\phi}{4f_{sw}L_{PS}} \quad (22)$$

This equation is identical to that of the conventional PS-SCC [53].

Similarly, integrating  $i_{QD}$  over a switching period  $T_{sw}$  yields  $I_{out}$ , as

$$\begin{aligned} I_{out} &= \frac{1}{T_{sw}} \int_{T_0}^{T_6} -i_{QD} dt \\ &= -\frac{V_{bat}(1 - |2d_\phi|)d_\phi}{4f_sL_{PS}} + \frac{1}{2}I_{L.PWM} \end{aligned} \quad (23)$$

Combining (22) and (23) produces

$$I_{L.PWM} = 2 \frac{V_{out}I_{out} + V_{bat}I_{bat}}{V_{out} + V_{bat}} = 2 \frac{P_{out} + P_{bat}}{V_{out} + V_{bat}} \quad (24)$$

where  $P_{out}$  and  $P_{bat}$  are the output power and battery charging power, respectively.

#### E. SCC

The previous work performed a thorough analysis for the SCCs [56] and revealed that SCCs can be equivalently expressed using an equivalent resistor  $R_{eq}$  that is given by

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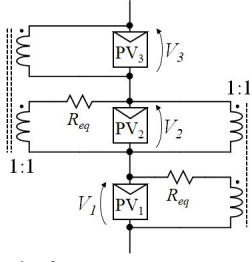


Fig. 11. Equivalent circuit of SCC

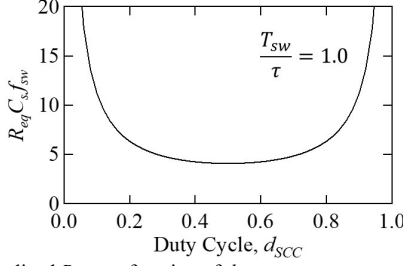


Fig. 12. Normalized  $R_{eq}$  as a function of  $d_{SCC}$ .

$$R_{eq} = \frac{1}{C_s f_{sw}} \frac{\exp\left(\frac{T_{sw}}{\tau}\right) - 1}{\left\{ \exp\left(\frac{d_{SCC} T_{sw}}{\tau}\right) - 1 \right\} \left\{ \exp\left(\frac{(1-d_{SCC}) T_{sw}}{\tau}\right) - 1 \right\}} \quad (25)$$

where  $C_s$  is the series combined capacitance of two capacitors in the SCC (i.e.,  $C_s = C/2$ , where  $C$  is the capacitance of each capacitor)—each loop in the SCC contains two capacitors.  $f_{sw}$  is the switching frequency, and  $\tau$  is the time constant formed by  $C_s$  and total resistance in a current loop.

The SCC-based DPP converter can reportedly be expressed using a dc equivalent circuit, as shown in Fig. 11 [45]. All substrings are connected in parallel through an ideal transformer and  $R_{eq}$ , and therefore, substring voltages are automatically equalized—the lower the value of  $R_{eq}$ , the smaller will be the voltage difference among substrings.

Fig. 12 shows  $R_{eq}$  normalized by  $1/C_s f_{sw}$  as a function of  $d_{SCC}$ .  $R_{eq}$  increases as  $d_{SCC}$  moves away from 0.5. In the range of  $0.1 < d_{SCC} < 0.9$ ,  $R_{eq}$  is sufficiently small, and adequate DPP converter performance can be expected. The tendency in Fig. 12 suggests the MPC should not operate with extreme duty cycles to avoid insufficient DPP converter performance.

## V. DESIGN EXAMPLE

This section presents a design example of a 200-W prototype ( $P_{out} = 100$  W and  $P_{bat} = 100$  W) for standard 60-cell PV panels comprising three substrings. The target specifications are  $V_{out} = 28$  V,  $V_{PV} = 28.8$  V,  $V_{bat} = 13.6$ – $16.8$  V at  $f_{sw} = 100$  kHz. A short circuit current of the PV panel is 6.0 A. The maximum allowable  $|\phi_d|$  is 0.25.

### A. PS-SCC

According to (21),  $I_{L,PWM}/2$  contributes to  $I_{bat}$  in the SIDO mode. In the SISO mode, on the other hand,  $I_{bat}$  does not contain  $I_{L,PWM}/2$ , as (22) indicates. These equations suggest that the PS-SCC in the proposed MPC processes larger power in the SISO mode than in the SIDO mode. Hence, the LC tank in the PS-

SCC should be designed focusing on the SISO mode. To satisfy  $P_{out} = 100$  W at  $|\phi_d| = 0.25$ ,  $L_{PS}$  can be obtained from (21), as

$$L_{PS} = \frac{V_{out} V_{bat} (1 - |2\phi_d|) d_\phi}{4 f_{sw} V_{bat} I_{bat}} \quad (26)$$

$$= \frac{28 \text{ V} \times 13.6 \text{ V} (1 - |2 \times 0.25|) 0.25}{4 \times 100 \text{ kHz} \times 100 \text{ W}} \approx 1.2 \text{ } \mu\text{H}$$

The capacitance  $C_{PS}$  should be chosen so that the LC tank does not resonate.  $C_{PS}$  is determined so that the resonant frequency  $f_r$  is lower than one-fifth of  $f_{sw}$ ,

$$I_{L,PWM} = \frac{1}{2\pi \sqrt{L_{PS} C_{PS}}} < \frac{f_{sw}}{5} \quad (27)$$

$$C_{PS} = 52.8 \text{ } \mu\text{F} \rightarrow 66 \text{ } \mu\text{F}$$

### B. PWM Buck-Boost Converter

In general, an inductor in PWM converters is designed so that a ripple current at a full load is around 30%. However, unlike ordinary PWM converters, the inductor current  $I_{L,PWM}$  in the proposed MPC contributes not only to the load current  $I_{out}$  but also to the battery current  $I_{bat}$ . In this design example, the inductance  $L_{PWM}$  is determined to achieve a 30% ripple current at the full output load of  $P_{out} = 100$  W and  $P_{bat} = 0$ .

Substituting  $P_{bat} = 0$  into (24) produces

$$I_{L,PWM} = 2 \frac{V_{out} I_{out}}{V_{out} + V_{bat}} \quad (28)$$

$$= 2 \frac{28 \text{ V} \times 13.6 \text{ W}}{28 \text{ V} + 13.6 \text{ V}} = 4.81 \text{ A}$$

Substituting  $I_{L,PWM} = 4.81$  A and the ripple factor  $\alpha = \Delta I_{L,PWM}/I_{L,PWM} = 30\%$  into (13) yields

$$L_{PWM} = \frac{V_{out} - \frac{2}{3} V_{PV}}{\alpha I_{L,PWM}} d_{PS,SCC} T_{sw} \quad (29)$$

$$= \frac{28 \text{ V} - \frac{2}{3} 28.8 \text{ V}}{0.3 \times 4.81 \text{ A}} 0.5 \times 10 \text{ } \mu\text{s} = 30.5 \text{ } \mu\text{H} \rightarrow 33 \text{ } \mu\text{H}$$

### C. SCC

This subsection determines  $C_s$  or  $C$  [see (25)] in the SCC by using the dc equivalent circuit of Fig. 11. The previous works reported DPP converters capable of processing 20%–30% of the panel's maximum power can satisfactorily enhance the annual energy yield of partially shaded PV panels [12], [57]. Accordingly, a current equivalent to 30% of the substring's short-circuit current (i.e.,  $6.0 \text{ A} \times 0.3 = 1.8$  A) is assumed to flow through the equivalent resistance  $R_{eq}$ . The capacitance  $C_s$  in (25) is determined so that a voltage drop across  $R_{eq}$  is less than 5% of a substring voltage of 9.6 V ( $= 28.8/3$ ).

$$R_{eq} (6.0 \text{ A} \times 0.3) < 9.6 \text{ V} \times 0.05 \quad (30)$$

$$\rightarrow R_{eq} < 0.27 \text{ } \Omega$$

Suppose the total resistance in a current loop of the SCC is  $0.02 \text{ } \Omega$ , which includes ESR of capacitors and on-resistance of switches,  $C_s$  is determined to be  $50 \text{ } \mu\text{F}$ , or  $C = 100 \text{ } \mu\text{F}$ .

## VI. CONTROL SYSTEM

The control block diagram for the proposed SCC-MPC is shown in Fig. 13.  $d_{PS,SCC}$  is always fixed to be 50%, while the



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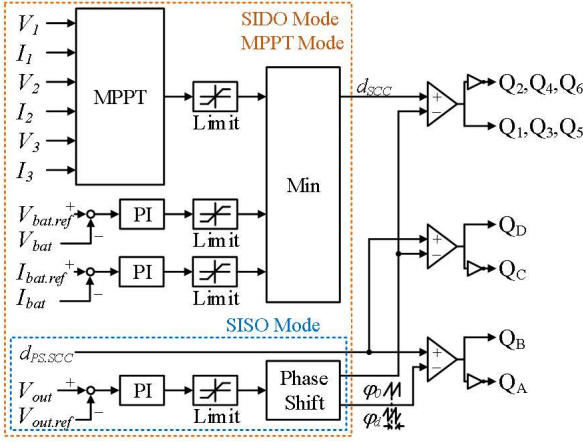


Fig. 13. Control block diagram.

PS duty cycle  $\phi_d$  is manipulated to regulate  $V_{out}$  in all operational scenarios. On the other hand,  $d_{scc}$  is manipulated to regulate either the PV panel or battery, depending on the power balance, as discussed in Section III.

Substring voltages  $V_1$ – $V_3$  and currents  $I_1$ – $I_3$  are measured to calculate the panel power  $P_{PV}$  (i.e.,  $P_{PV} = V_1 I_1 + V_2 I_2 + V_3 I_3$ ). Any MPPT technique can be used to control the proposed SCC-MPC. In our study, an ordinary hill-climbing MPPT algorithm is employed in the experimental verification tests (Section VII).

The charge current and voltage in the SISO mode are determined by  $I_{bat.ref}$  and  $V_{bat.ref}$ , respectively. One out of three control objectives ( $P_{PV}$ ,  $I_{bat}$ , and  $V_{bat}$ ) is selected by the minimum function. The minimum function seamlessly switches the SISO or MPPT modes to generates  $d_{scc}$ , depending on the power balance.

## VII. EXPERIMENTAL RESULTS

### A. Prototype

Based on the design example in Section V, a 200-W prototype ( $P_{out} = 100$  W and  $P_{bat} = 100$  W) for standard 60-cell PV panels comprising three substrings was built, as shown in Fig. 14. The prototype measures  $72 \times 56 \times 16$  mm ( $64.5$  cm<sup>3</sup>), and its power density is  $3.1$  W/cm<sup>3</sup>. Component values are listed in Table I. The prototype was designed for  $V_{in} = 28.8$  V (typical MPP voltage of 60-cell panels),  $V_{out} = 28$  V, and  $V_{bat} = 16$  V at the switching frequency of  $100$  kHz.

The measured key operation waveforms at the full load of  $200$  W are shown in Fig. 15. These waveforms agreed well with the theoretical ones shown in Fig. 7, verifying the operation of the prototype.

### B. Output Characteristics

Power conversion efficiencies were measured using the experimental setup shown in Fig. 16. Instead of PV substrings, a constant voltage source with  $V_{in} = 28.8$  V was used as an input voltage source. A variable resistor  $R_v$  or an external power supply of  $V_{ext} = 16$  V was connected to the battery port through the selectable tap. Closing the tap X allows  $I_{bat}$  to flow toward  $R_v$ , emulating the current flow paths in the SISO mode. To emulate the operation of the SISO mode, the tap X is opened to

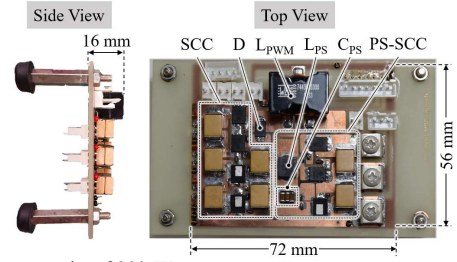


Fig. 14. Photographs of 200-W prototype.

TABLE I  
COMPONENT VALUES.

Symbol	Value
Q1–Q4, Q <sub>A</sub> , Q <sub>B</sub>	CSD88599Q5DC, $R_{on} = 2.1$ m $\Omega$
Q5, Q6, Q <sub>C</sub> , Q <sub>D</sub>	BSC010N04LSI, $R_{on} = 1.05$ m $\Omega$
C1–C5, C <sub>A</sub> , C <sub>B</sub>	Ceramic capacitor, $100$ $\mu$ F
C <sub>PS</sub>	Ceramic capacitor, $22$ $\mu$ F $\times 3$
L <sub>PWM</sub>	$33$ $\mu$ H, $R_{dc} = 11.40$ m $\Omega$
L <sub>PS</sub>	$1.2$ $\mu$ H, $R_{dc} = 7.5$ m $\Omega$

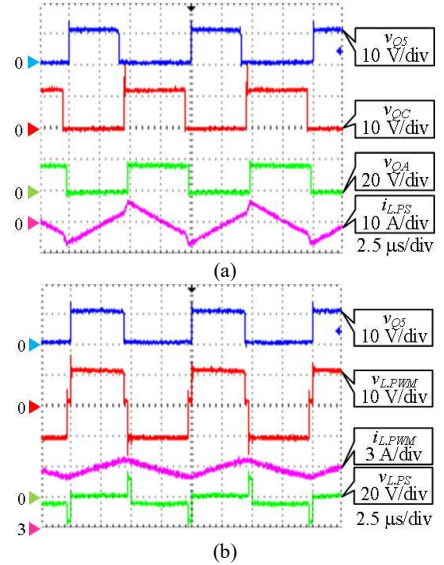


Fig. 15. Measured key waveforms at full load of  $P_{out} = 100$  W and  $P_{bat} = 100$  W. (a)  $v_{Q5}$ ,  $v_{Q4}$ ,  $v_{QC}$ , and  $i_{L,PS}$ . (b)  $v_{Q5}$ ,  $v_{L,PWM}$ ,  $i_{L,PWM}$ , and  $v_{L,PS}$ .

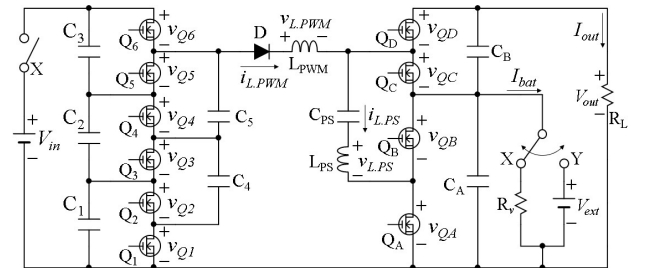


Fig. 16. Experimental setup for power conversion efficiency measurement.

disconnect  $R_v$  and  $V_{in}$ , while the tap Y is selected to enable  $V_{ext}$ .

Power conversion efficiencies in the SISO mode were measured at fixed  $P_{out}$  of  $20$ ,  $60$ , and  $100$  W. Measured efficiencies as a function of the total output power ( $= P_{bat} + P_{out}$ )

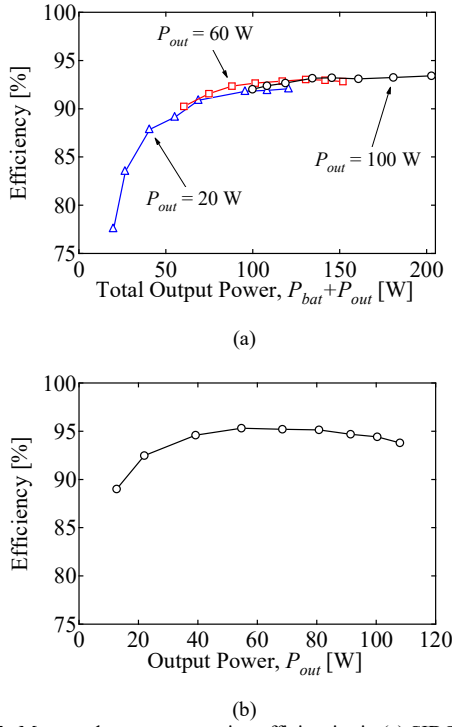


Fig. 17. Measured power conversion efficiencies in (a) SISO mode and (b) SISO mode.

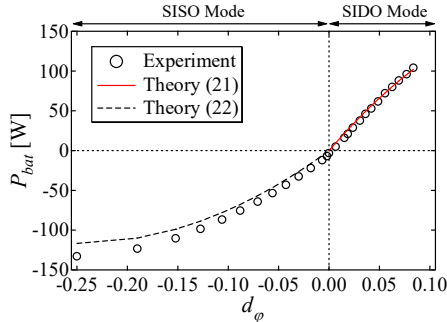


Fig. 18. Measured characteristics of  $P_{bat}$  as a function of  $d_\phi$ .

are shown in Fig. 17(a). The efficiencies increased with  $P_{out}$  and were higher than 92% in the range of the total output power greater than 100 W. The peak efficiency was as high as 93.3% at the total output power of 200 W. The measured efficiency in the SISO mode is shown in Fig. 17(b). The efficiency at the rated power of  $P_{out} = 100$  W was as high as 94.4%.

The measured efficiencies of the proposed SCC-MPC in Fig. 17 are somewhat lower than ordinary nonintegrated converters, which generally achieve power conversion efficiencies of 95%–98%. Since the proposed MPC contains ten switches, its gate driving loss will be larger than that of ordinary converters. Furthermore, the SCC-based DPP converter must always operate regardless of the presence of partial shading, whereas a DPP converter in the conventional system [Fig. 2(a)] can be disabled when not necessary. In other words, the loss originating from the SCC impairs power conversion

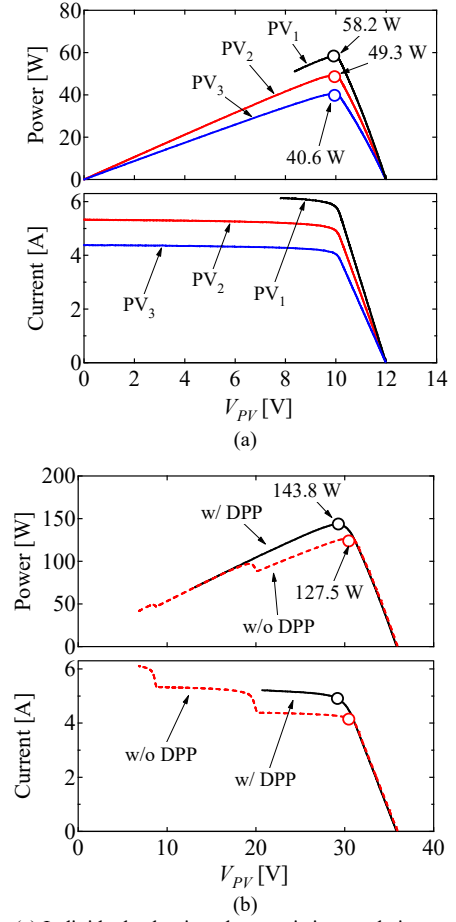


Fig. 19. (a) Individual substring characteristics emulating partial shading condition. (b)  $P$ – $V$  characteristics with/without DPP function.

efficiencies when the DPP function is unnecessary under unshaded conditions.

The measured battery power ( $P_{bat}$ ) characteristics as a function of  $d_\phi$  is shown in Fig. 18. The positive and negative regions of  $P_{bat}$  correspond to the charging and discharging power, respectively. The experimental results agreed very well with the theoretical characteristics of (21) and (22), verifying the mathematical analysis in Section IV-C. The characteristics in the charging and discharging regions were asymmetric because of the existence of  $I_{L,PWM}/2$  in (21) in the charging mode— $I_{L,PWM}$  flows toward the battery in the charging mode, as can be seen in Figs. 8(c)–(e), whereas  $I_{L,PWM}$  is blocked by D in the SISO mode.

### C. Verification for DPP Capability Under Partial Shading Condition

Solar array simulators (E4361A, Keysight Technologies) were used as substrings to emulate a partial shading condition where  $PV_2$  and  $PV_3$  were moderately and severely shaded, respectively. Individual substring characteristics used for the experiment are shown in Fig. 19(a). Open-circuit voltages of all substrings were set to be 12 V to simplify the experimental setting, though shaded substrings in practical use present lower open-circuit voltages. An electronic load operating in a constant

voltage mode was used as the battery of  $V_{bat} = 16$  V. A variable resistor was connected to the load port to sweep the panel characteristic.

Measured  $P$ - $V$  characteristics of the panel with/without the DPP function of the proposed MPC are compared in Fig. 19(b). There were three MPPs observed on the  $P$ - $V$  characteristic in the case without the DPP function (i.e., with bypass diodes), and the maximum power at the global MPP was merely 127.5 W. With the DPP function, on the other hand, the local MPP vanished, and the maximum power increased to as high as 143.8 W, achieving 12.8% ( $= 143.8/127.5$  W) improvement in power yield.

#### D. Mode Transition Tests

Mode transition tests were performed to validate the control system for the proposed MPC. A hill-climbing MPPT algorithm with a duty cycle perturbation of 0.1% and a sampling interval of 200 ms was employed.  $P_{out}$  or  $P_{PV}$  was step-changed so that  $P_{bat}$  swung between the positive and negative values (i.e., charging and discharging).

In the first test, the maximum power of the panel was fixed to be 70 W while  $P_{out}$  was step-changed between 50 and 100 W. Measured voltage and power profiles in response to the step changes in  $P_{out}$  are shown in Fig. 20(a). The PV panel kept generating 70 W thanks to the MPPT control, and  $V_{PV}$  fluctuated at almost three voltage levels [as shown in the inset of Fig. 20(a)]. When  $P_{out}$  was 50 W,  $P_{bat}$  became positive as the surplus power of  $P_{PV}$  was transferred to the battery port. On the other hand, when  $P_{out}$  increased to 100 W, the battery port started supplying the deficit power of approximately 30 W. Throughout the test,  $V_{out}$  was regulated to be 28 V, though it undershot and overshoot to some extent in response to the step changes in  $P_{out}$  probably due to insufficient gain turning of the PI controller.

In the second test,  $P_{out}$  was fixed to be 100 W while the maximum power of the panel was step-changed between 170 and 0 W. The measured voltage and power profiles in response to the step-change in the panel's maximum power are shown in Fig. 20(b). In the first 40 sec, the MPC operated in the SIDO mode, and  $V_{out}$  and  $V_{bat}$  were regulated to be 28 and 16 V, respectively. Meanwhile,  $V_{PV}$  fluctuated because the input port was unregulated in the SIDO mode.  $P_{PV}$  was around 155 W, and the surplus power was delivered to the battery in the form of the positive value of  $P_{bat}$ . At 40 sec,  $P_{PV}$  was abruptly forced to zero, but the battery port quickly started operating in the SISO mode to support  $V_{out}$ . As  $P_{PV}$  abruptly rose and exceeded  $P_{out}$  at 80 sec, the battery port started being charged again in the SIDO mode as  $P_{bat}$  came back to the positive value. In summary,  $V_{out}$  was always regulated to be 28 V, though it overshoot and undershot to some extent in response to the step changes in  $P_{PV}$ . Meanwhile,  $V_{bat}$  was regulated to be 16 V during the charging periods.

#### VIII. COMPARISON WITH CONVENTIONAL MPCs AND DPP CONVERTERS

The proposed SCC-MPC is compared with conventional nonisolated MPCs in terms of topology, component counts, control schemes, and reported efficiency, as shown in Table II.

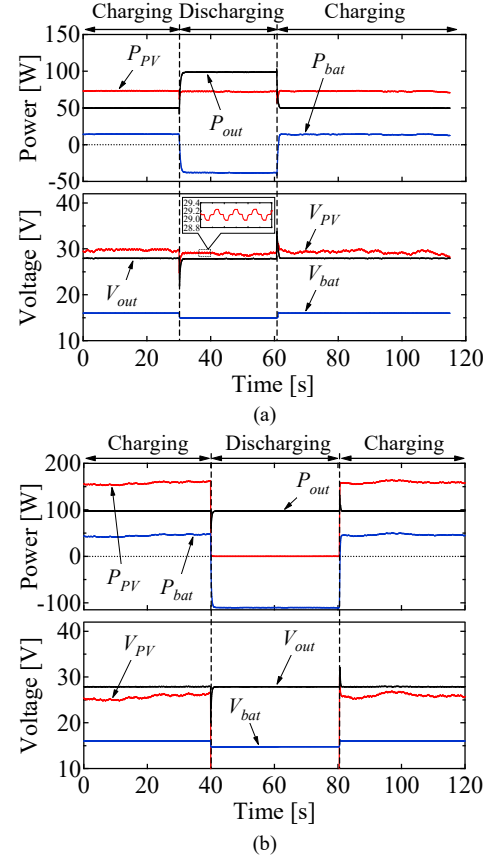


Fig. 20. Measured voltage and power profiles in (a) MPPT mode and (b) SIDO and SISO modes.

Costs are subjectively judged based on the necessary component types and counts.

All topologies have their own unique features and drawbacks. Topologies consisting only of PWM converters [36]–[40] offer a relatively simple circuit. However, since all input/output ports are regulated by PWM controls, duty cycle limitation issues arise. The MPCs [36], [37], for example, manipulate two duty cycles of  $d_1$  and  $d_2$  to control two output ports, but the condition of, e.g.,  $d_1 > d_2$  must be always satisfied, hence posing the duty cycle limitation. On the other hand, MPCs employing two different modulation schemes ([42]–[44] and proposed MPC) alleviate the duty cycle limitation issues at the cost of increased circuit component counts and increased control complexity. Costs of topologies based on basic PWM converters only [36]–[38] are low, while customized tapped-inductors [40] and the large component count due to the SCC [42] would raise costs.

The proposed SCC-MPC requires the largest component count among the topologies listed in Table II. However, the conventional MPCs integrate only two converters, whereas the proposed converter integrates three converters (i.e., PWM buck-boost converter, PS-SCC, and SCC-based DPP converter), realizing the high integration. Furthermore, the proposed SCC-MPC achieves the miniaturized circuit thanks to the SCC-based structure. Although the proposed SCC-MPC requires a large component count and increases its cost, the highly-integrated

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TABLE II  
COMPARISON BETWEEN PROPOSED SCC-MPC AND CONVENTIONAL MPCs.

Topology		Component Count				Control Scheme	Full Load Efficiency	Cost	Feature
		Q	D	L	C				
[36], [37]	PWM Converter + PWM Converter	3	0	2	3	PWM + PWM	90% [35] 93% [36]	Low	Simple topology
[38]	Multi-Input/Output PWM Converter	3	3	1	3	PWM + PWM	Not Reported	Low	Single-inductor topology
[39]	PWM Buck-Boost Converter + PWM Buck-Boost Converter	6	0	2	3	PWM + PWM	94%	Medium	Good extendibility
[40]	PWM Converter + PWM Converter	4	5	2 Coupled Inductors	5	PWM + PWM	95%	High	High step-up conversion
[42]	PWM SCC + Series-Resonant Converter	6	4	3	10	PWM + PFM	94%	High	Miniaturized circuit
[43], [44]	PWM Converter + Phase-Shift SCC	4	0	2	4	PWM + Phase-Shift	97.5% [42] 95.7% [43]	Medium	No duty cycle limitation
Proposed	PWM Buck-Boost Converter + Phase-Shift SCC + DPP Converter (SCC)	10	1	2	8	PWM + Phase-Shift	93.3%	High	Miniaturized circuit, high integration

miniaturized circuit is the most prominent feature that would be best suitable for applications where the system miniaturization is prioritized over cost reduction. The efficiency of the proposed SCC-MPC is somewhat inferior chiefly due to the loss originating from the integrated DPP converter, as discussed in Section VII-C—the conventional MPCs in Table II do not contain DPP converters, and therefore losses associated with DPP converter are zero.

The DPP converter in the proposed MPC is based on the SCC. The SCC-based DPP converter and several other representative DPP converter topologies are compared in Table III where  $n$  is the number of substrings (e.g.,  $n = 3$  for standard PV panels consisting of three substrings). Nonisolated bidirectional converters, such as PWM converters [3]–[6] and multi-stage choppers [7], are the most straightforward DPP converter topology, but the existence of multiple inductors is a drawback as magnetics are the bulkiest component in converters. Isolation by bidirectional flyback converters allows flexible power redistribution among substrings [12]–[14]. These DPP converters, however, need numerous transformers in proportion to the number of substrings, increasing the system cost and circuit volume. The single-input–multi-output converters, such as multistacked buck-boost converters [9], [10] and LLC resonant voltage multiplier [11], can reduce the switch count as low as one or two, simplifying their gate driving circuits. However, these DPP converters allow unidirectional power transfer only (from panel to substrings) because of the existence of diodes, and therefore flexible power redistribution

is infeasible.

Meanwhile, the SCC-based DPP converters [16] essentially do not contain magnetic components, achieving the highest power density among DPP converters listed in Table III, though numerous switches are necessary. In addition, the integration with the PWM buck-boost converter allows to reduce inductor volume because of the inductor's reduced applied voltage, as discussed in Section VI-C.

## IX. CONCLUSIONS

To simplify standalone PV systems containing a rechargeable battery and DPP converter, this paper has proposed the novel SCC-based MPC with the DPP capability. The proposed SCC-MPC is derived from the integration of the three converters of a noninverting PWM buck-boost converter, SCC, and PS-SCC, with sharing switches. In addition to the reduced converter count, the proposed MPC achieves reduced circuit volume thanks to the SCC and PS-SCC, both of which are a high power-density converter.

Depending on the power balance among three input/output ports of the PV panel, load, and battery, the proposed SCC-MPC operates either in the SIDO, MPPT, or SISO mode. The output voltage  $V_{out}$  is always regulated by PS control adjusting the PS angle  $\phi$  in any operation scenarios. Duty cycle  $d_{SCC}$ , on the other hand, is manipulated based on PWM control to perform either MPPT for the PV panel or CC–CV charging for the battery, depending on the power balance. Meanwhile, partial-shading issues can be automatically precluded by the DPP function of the SCC, even without feedback control.

The experimental verification using the 200-W prototype was performed, and fundamental operations of the proposed MPC were verified. Furthermore, PV panel characteristics with/without the DPP function of the proposed MPC were measured emulating the partial shading condition. With the DPP function, the power yield increased by 12.8%, demonstrating the DPP performance of the proposed MPC. Finally, the power balance test and mode transition test were performed, and the results demonstrated the operation scenarios (MPPT, SIDO, and SISO modes) were seamlessly switched, depending on the power balance among the three input/output ports.

TABLE III  
COMPARISON FOR DPP CONVERTERS

Topology	Switch	L	$C^\dagger$	D	Transformer	Feature
Bidirectional PWM Converter [3]–[6]	$2(n-1)$	$n-1$	-	-	-	-
Multi-Stage Chopper [7]	$n$	$n-1$	-	-	-	-
Bidirectional Flyback Converter [12]–[14]	$2n$	-	-	-	$n$	Flexible power redistribution
Multistacked Buck-Boost Converter [9], [10]	1	$n+1$	$n$	$n$	-	Simple circuit
LLC Resonant Voltage Multiplier [11]	2	-	$n+1$	$2n$	1	Simple circuit
SCC [16] and Proposed	$2n$	-	$n-1$	-	-	High power density

$^\dagger$  Smoothing capacitors excluded



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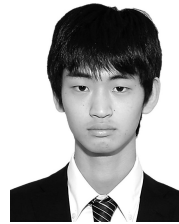
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